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CARBON-13 NMR SPECTRA OF LIGNINS, 10.¹
COMPARISON OF STRUCTURAL UNITS IN SPRUCE AND
BEECH LIGNIN

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*Dedicated to Prof. J.L. McCarthy on the occasion
of his 70th birthday

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ABSTRACT

90.7 MHz Carbon-13 NMR spectra of spruce and beech milled wood lignins (MWLs) and comparison with the spectra of their acetates as well as acetylated dehydrogenation polymer (DHP) from coniferyl alcohol reveals detailed information on the constitution of the two lignins. The signals indicative of β -0-4 units are split, due to the occurrence of erythro and threo isomers. In beech lignin erythro β -0-syringyl ethers by far prevail in the β -0-4-linkages. The spectra also provide unequivocal evidence for the occurrence of β -5 and β - β linkages in both spruce and beech lignins, while some anomalies remain in the case of β -1 and α , β -bis-0-4 units. Two-dimensional ^{13}C -J(^1H)-NMR spectra of acetylated DHP corroborate the assignment of signals in the spectra of acetylated MWLs.

INTRODUCTION

The first carbon-13 NMR spectra of MWLs from spruce and beech were obtained with a Varian XL-100-15 spectrometer

at 25.2 MHz some ten years ago^{2,3}. From these spectra, it was not possible to differentiate between the main structural units in lignin, because of severe overlap and poor resolution of signals in the aliphatic ranges of the spectra between 50 and 90 ppm. Acetylation of the lignins led to a downfield shift of about 2 ppm for most aliphatic carbon atoms linked directly to acetoxy groups and a similar or even more pronounced upfield shift for carbon atoms adjacent but not directly linked to them⁴. An either upfield or downfield shift or no shift at all after acetylation of the lignin was therefore indicative for the assignment of a signal in the carbon-13 NMR spectra. Furthermore, the resolution of the spectra from acetylated lignins was improved and their solubility was higher, meaning higher concentrations and signal intensities. The assignment of the signals was further confirmed by comparison with the spectra of DHPs and their acetates^{4,5}.

During the past years spectrometers with much higher magnetic fields have become available, improving signal intensities as well as signal resolutions profoundly. This is demonstrated in Fig. 1, where nonacetylated spruce and beech MWLs at 90.7 and 75.6 MHz, respectively, give twenty to thirty separated signals while at 25.2 MHz there are only five or six³. Comparison of these spectra with those of the lignin acetates, recorded at 90.7 MHz (Fig. 2), allows detailed insight into the constitution and configuration of beech and spruce lignins.

For a better understanding of the differences between the spectra of acetylated spruce and beech lignins, we have synthesized the β -0-4 syringyl ether 1 (Fig. 3), which for carbon atoms α , β and γ gives different signals from those for the corresponding guaiacyl ether, publish-

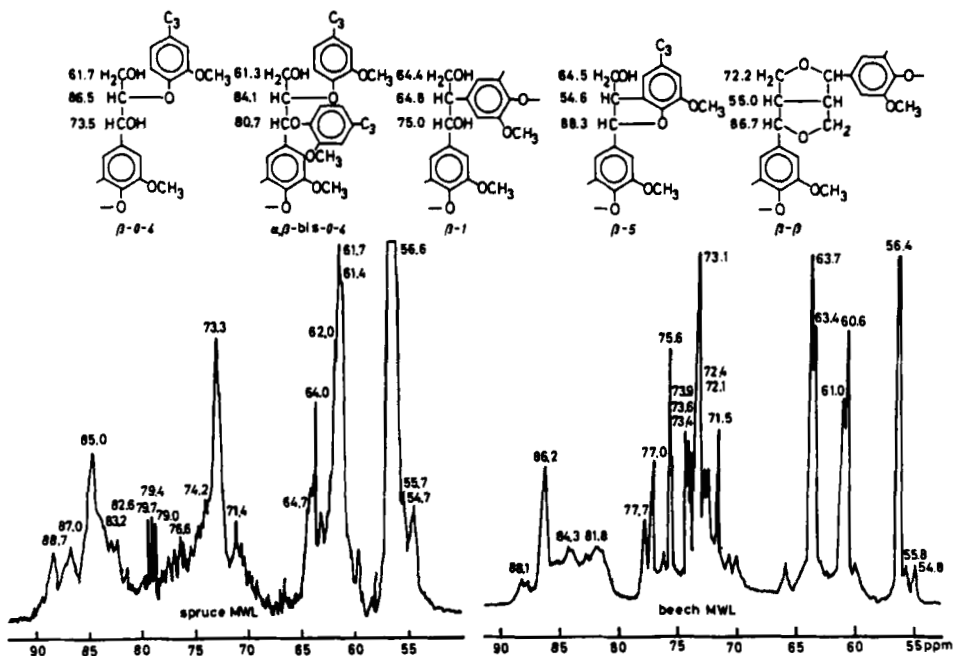


FIGURE 1: Carbon-13 NMR spectra of spruce and beech MWL.

ed earlier (cf. Fig. 2, upper left)⁴. In the same paper the chemical shift value for the β carbon atom in acetylated β -1 units was found to be 51.2 ppm, which seems to be surprisingly low when compared to the chemical shift value of the β -carbon atom in nonacetylated β -1 units at 64.8 ppm (cf. Fig. 1). For this reason we have also synthesized the β -1 dilignol 2, and found a value of 51.5 ppm for the β -carbon atom.

Due to the complexity of lignin structure, off resonance spectra lead to a severe overlap of many multiplets rendering their assignment nearly impossible. A recent technique, two dimensional NMR spectroscopy⁶, circumvents the overlap of the multiplets by displaying the chemical shifts of the carbon atoms and their splitting

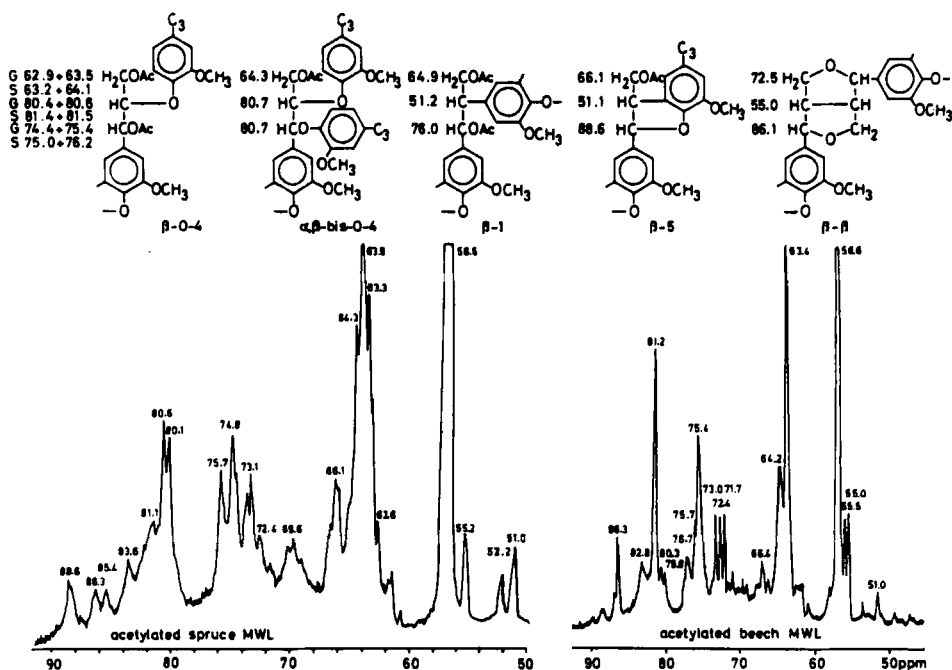


FIGURE 2: Carbon-13 NMR spectra of acetylated spruce and beech MWL.

by spin-spin coupling with protons into two dimensions. In these $2\text{DJ-}^{13}\text{C-PFT-NMR}$ spectra (Fig. 5 and 6) one can distinguish between CH_3 , CH_2 , CH groups, and quaternary carbon atoms in acetylated DHP, which further confirms the assignment of signals in the carbon-13 NMR spectra of acetylated spruce and beech MWL.

MATERIALS AND METHODS

MWLs of beech and spruce wood were obtained according to Björkman⁷ and freed from carbohydrates by dropping distinct amounts of benzene into their solutions in dioxane/water in the presence of aluminium oxide⁸. Acetylation of MWLs, DHP as well as model compounds 1 and 2 was carried out with pyridine/acetic anhydride.

Synthesis of model compound 1 was performed according to Nimz⁹, but with syringyl alcohol instead of creosol. mp of the tetraacetate 1: 153°C. Compound 2 was synthesized according to Lundquist¹⁰. The nonacetylated 2 had mp 194°C (lit.: 192-193°C¹¹). Tetraacetate 2: mp 130-133°C (lit. 130-133°C¹¹).

DHP was obtained according to the Zutropf-procedure¹² and its solution in dioxane/water (1 : 1) was oxidized with the same amount of peracetic acid at 60°C and pH 3 for 5 hours¹³. PFT-Carbon-13 NMR spectra were obtained from 10 to 15 % solutions in hexadeuteroacetone/D₂O (9 : 1) with a Bruker WP 360 spectrometer at 90.7 MHz with the exception of beech MWL, which was recorded with a Bruker CXP 300 spectrometer at 75.6 MHz, and DHP acetate, which was recorded with a 400 MHz spectrometer. Usually some 25,000 scans were accumulated at pulse interval times between 1 and 4 sec. The technique used for obtaining the 2DJ-¹³C-PFT NMR spectra has been described elsewhere⁶.

RESULTS AND DISCUSSION

β-0-4- Structures

The abundance of β-0-4 linkages in spruce lignin has been the subject of intensive biosynthetical as well as analytical work by many authors and was estimated by both Freudenberg¹⁴ and Adler¹⁵ to be about 48 %. Degradation of beech lignin with thioacetic acid revealed 65 % β-0-4 plus α-0-4 ethers¹⁶, meaning a number close to 60 % for β-0-4 structures. A similar number was recently found by Robert and Gagnaire¹⁷ in birch lignin by quantitative carbon-13 NMR spectroscopy.

Consequently, carbon atoms α, β, and γ in β-0-4 structures give the most prominent signals between 50 and 90

ppm in the carbon-13 spectra of nonacetylated (Fig. 1) as well as acetylated (Fig. 2) spruce and beech MWLs. In the spectra of the nonacetylated MWLs (Fig. 1), carbon atoms α and β in β -0-4 units give relatively broad signals at 73.1 - 73.3 and 85.0 - 86.2 ppm, respectively. The signals are not split, though one should expect two signals, one each for the threo and erythro diastereoisomers. As this is the case for the acetylated MWLs (Fig. 2), one may assume that hydrogen bonding broadens these signals. The signals of the γ carbon atom at 60.6 - 62.0 ppm are only slightly split.

As mentioned above, acetylation shifts the signals of the α - and γ -carbon atoms in β -0-4 units downfield by some 2 ppm, while that of the β -carbon atom is shifted upfield by about 5 ppm. In the spectrum of acetylated spruce lignin (Fig. 2, left hand side), one finds two signals each for the α -carbon (74.8 + 75.7 ppm), the β -carbon (80.1 + 80.6 ppm), and the γ -carbon atom (63.3 + 63.8 ppm) in β -0-4 units. These values fit well with those of the two diastereoisomeric acetates of a β -0-4 dilignol model compound, which we had synthesized earlier⁴. According to the literature^{18,19} the threo isomer has the higher ppm values (lower field). From the ratio of the signals it is difficult to estimate which of the two diastereoisomers prevails, partly because the signal areas are not exactly proportional to the concentrations of the carbon atoms and partly because in this case they are similar to each other. In model studies, Nakatsubo et al.¹⁸ obtained a 7 : 3 ratio of threo : erythro guaiacylglycerol- β -guaiacylether by addition of water to the corresponding quinone methide in dioxane. It is interesting to note that acetylated DHP gives nearly identical signals for the β -0-4 diastereoisomers (cf. Fig. 5). Additional signals at 63.0 and

63.5 ppm may be assigned to γ -carbon atoms in glycerol side chains, obtained from α, β double bonds with peracetic acid (see above).

In the case of acetylated beech MWL (Fig. 2, right hand spectrum) β -O-syringyl units give separated signals from β -O-guaiacyl moieties. For comparison, we have synthesized the guaiacylglycerol- β -syringyl ether 1. The crystalline compound 1 (mp 153°C) gives signals at 76.2, 81.5, and 64.1 ppm for carbon atoms α , β , and γ , respectively, while the noncrystalline isomer gives signals at higher field (lower ppm values): 75.0, 81.4, and 63.2 (cf. Fig. 3, compound 1). From the spectrum of acetylated beech lignin (Fig. 2) it clearly appears that the signals at 75.4, 81.2, and 63.4 ppm, which are the most prominent ones in these areas, can be assigned to the latter isomer. If we assume that this is the erythro isomer, we may conclude that in beech lignin the erythro β -O-syringyl units form the main linkages between the C_9 units. The corresponding threo β -O-syringyl units are indicated by much weaker signals at 76.7 and 63.2 ppm, assigned to the α and γ carbon atoms, while the signal of the β -carbon atom at around 81.3 ppm is overlapped by the strong signal of its erythro isomer at 81.2 ppm. β -O-guaiacyl units are indicated by very low signals at 79.8 and 80.3 ppm (cf. Fig. 2 right hand spectrum) assigned to the β -carbon atom, and a shoulder at 75.7 ppm, caused by carbon atom α in threo β -O-guaiacyl ethers.

As a consequence it may be concluded that in beech lignin the β -O-4 units are overwhelmingly of the erythro β -O-syringyl ether type. This is in accordance with the observation that hardwood lignin can be more easily degraded than softwood lignins. It has been shown earlier,

²⁰ that beech lignin can be degraded by mild hydrolysis in 40 % yield and spruce lignin only to 10 %. In recent model experiments we have found²¹ that the rate of hydrolysis is four times as high with β -O-syringyl than with β -O-guaiacyl ethers. It is also well known that organosolv pulping of hardwoods proceeds more smoothly than with softwoods²².

α,β -bis-O-4 Structures (noncyclic benzyl aryl ethers)

As indicated in Figs. 1 and 2, α,β -bis-O-4 units are expected to give signals at 80.7, 84.1 and 61.3 ppm in the spectra of nonacetylated and at 80.7 as well as 64.3 ppm in that of acetylated lignins. These ppm values were determined from the spectra of three oligomeric α,β -bis-O-4 model compounds and checked by their off resonance spectra²³. Though the structure of these compounds has been doubted by Leary recently²⁴, we maintain that the structures, given by Freudenberg and Friedmann²⁵, are correct (cf. also Nimz²⁶).

On the other hand, we have to assume that these structures in lignin would be sterically very restricted, leading to line broadening and some change of the chemical shift values in the carbon-13 spectra. In Fig. 1 there exist broad signals in the beech MWL spectrum between 81 and 85 ppm, which possibly may be assigned to carbon atoms α and β in α,β -bis-O-4 units, while the γ carbon atom, which is sterically less restricted, may contribute to the signal at 61.0 ppm.

In the spectrum of spruce MWL (Fig. 1, left hand side), possible signals of carbon atoms β and γ are completely overlapped by the signals of carbon atoms β and γ in β -O-4 units. At 80.7 ppm there exists only a very small signal which could be assigned to the α -carbon atom in

α,β -bis-0-4 units. It seems, however, possible that the signals at 82.6 and 83.2 ppm could be due to carbon atom α in sterically restricted α,β -bis-0-4 units.

The situation is even more uncertain in the spectra of acetylated spruce and beech MWLs (cf. Fig. 2), where carbon atoms α and β in α,β -bis-0-4 units are expected to give the same signal at 80.7 ppm. In the spectrum of acetylated beech MWL (Fig. 2, right hand side) both signals, at 80.7 for carbon atoms α and β and at 64.3 ppm for C- γ , are completely overlapped by the strong signals for carbon atoms β and γ (threo isomer) in β -0-4 units. Only in the spectrum of acetylated spruce MWL (Fig. 2, left hand side), do we find a relatively strong and broad signal at 81.1 ppm, which could be assigned to carbon atoms α and β in α,β -bis-0-4 units. The same signal, though less pronounced, is also present in the spectrum of acetylated DHP (cf. Fig. 5). More characteristic is the sharp signal of the sterically not restricted carbon atom γ in α,β -bis-0-4 units at 64.3 ppm in Fig. 5.

It is therefore so far not possible to provide unambiguous evidence for the occurrence of α,β -bis-0-4 structural units in lignin from their carbon-13 NMR spectra. There exists the possibility that the above discussed signals may be assigned to α -alkyl ethers and more work with appropriate model compounds is needed (cf. also Leary et al.²⁷).

It is, however, interesting to note that the signal at 84.3 ppm in beech MWL (Fig. 1) disappears on acetylation (Fig. 2) but not the signal at 81.8, which is exactly expected when the former is assigned to carbon β and the latter to α in α,β -bis-0-4 units. It is also possible to assign the signal at 61.0 ppm in the spectrum of beech MWL (Fig. 1) to the γ carbon atom of

α , β -bis-0-4 units rather than to the γ carbon atom in three β -O-syringyl ethers. The same is true for the signal at 64.2 ppm in the spectrum of acetylated beech lignin (Fig. 2).

β -1 units

β -1 dilignols were first obtained from beech and spruce lignins by mild hydrolysis²⁸. Independently Lundquist and Miksche²⁹ provided evidence for the occurrence of β -1 units in spruce lignin by isolation of the corresponding acidolysis products. From the yields of the corresponding lignin degradation products obtained with thioacetic acid, the amount of β -1 units in beech lignin was calculated to be about 15 %^{16,30}.

In the spectrum of nonacetylated beech MWL (Fig. 1, right hand side) we find three strong signals at 75.6, 63.7, and 63.4 ppm, which may be assigned to carbon atoms α , β , and γ in β -1 units, respectively. The fact that the γ -carbon atoms in β -5 units also absorb at 64.5 ppm (cf. Fig. 1) is of little importance, because β -5 units do not occur frequently in beech lignin (see below). However, beech MWL is always contaminated by xylans, which cannot be separated completely. For this reason we recorded the carbon-13 NMR spectrum of xylan in hexadeuteroacetone/D₂O (1 : 1) and obtained signals at 102.1 (C-1), 73.0 (C-2), 74.1 (C-3), 76.7 (C-4), and 63.3 (C-5). From these values we have to assume that carbon atom 5 in xylans also contributes to the signals at 63.4 and 63.7, which might explain the fact that the intensity of the latter is higher than that of carbon atom γ in β -0-4 units at 60.6 ppm.

In the spectrum of spruce MWL (Fig. 1, left hand side) the signals at 64.0 - 64.7 and at 75.0 ppm indicate the

presence of β -1 structures, though their amount must be less than in beech lignin.

It had been found previously⁴ that acetylation of 1,2-disyringyl-propanediol- (1,3) shifts the signals of carbon atoms α and γ only slightly downfield, while that of carbon atom β was shifted upfield by 13.6 ppm. An explanation might be that the signal of carbon atom β in the nonacetylated β -1 dilignol is overlapped by that of the methoxy group at 56.6 ppm³¹. We have synthesized the acetate 2, which confirmed the previously found δ values of the aliphatic carbon atoms in acetylated β -1 units (Fig. 3).

The expected signals for carbon atoms α and γ in acetylated β -1 units, at 76.0 and 64.9 ppm, seem to be overlapped by the signals of carbon atoms α and γ in β -0-4 units. More surprisingly, the signal of carbon atom β at 51.2 ppm is very weak in beech lignin. The reason for this is presently unknown. Perhaps the signal is shifted downfield for some sterical reasons, or the relaxation time of the β -carbon atom is extremely long.

β -5 and β -8 units

All six signals of the β -5 and β -8 units in the 25.2 MHz-carbon-13 spectra of nonacetylated MWLs from spruce and beech^{2,3} were completely overlapped by the strong signals of β -0-4 units and the methoxyl groups. Increasing the magnetic field by a factor of 3.6 leads to fairly well separated signals at 88.7 and 54.7 ppm for carbon atoms α and β in β -5 units as well as at 87.0 and 55.7 for carbon atoms α and β of β -8 units in the carbon-13 spectrum of spruce MWL (Fig. 1, left hand side). In beech MWL, which was recorded at 75.6 MHz (Fig. 1, right hand side) β -5 units are clearly indicat-

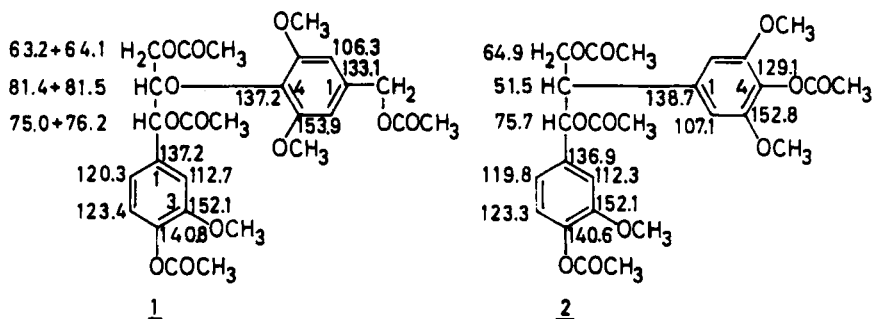


FIGURE 3: Chemical shift values of model compounds 1 and 2.

ed by signals at 88.1 and 54.8, and β - β units by signals at 55.8 and 72.4 ppm.

Acetylation leads to an upfield shift of 3.5 ppm for the signal of carbon atom β and a downfield shift of 1.6 ppm for the signal of carbon atom γ in β -5 structures, while the signals of β - β units remain constant. This results in separated signals for carbon atoms α (88.6 ppm), β (51.0 ppm), and γ (66.4 ppm) in β -5 units as well as for carbon atoms α (86.3 ppm), β (55.2 ppm) and γ (72.4 ppm) in β - β units of acetylated spruce and beech MWLs (cf. Fig. 2).

From the relative intensities of these signals it may be concluded that in spruce lignin β -5 units prevail over β - β units, while the reverse is the case in beech lignin. It has recently been concluded³² from the 20 MHz carbon-13 NMR spectra of *Pinus radiata* lignins that the presence of β - β units "in significant amounts appears unlikely", which is certainly not the case for spruce lignin.

Comparison of Spruce Lignin with DHP

Comparison of the spectrum of acetylated spruce MWL in Fig. 2 with the "one-dimensional" spectrum of acetylated

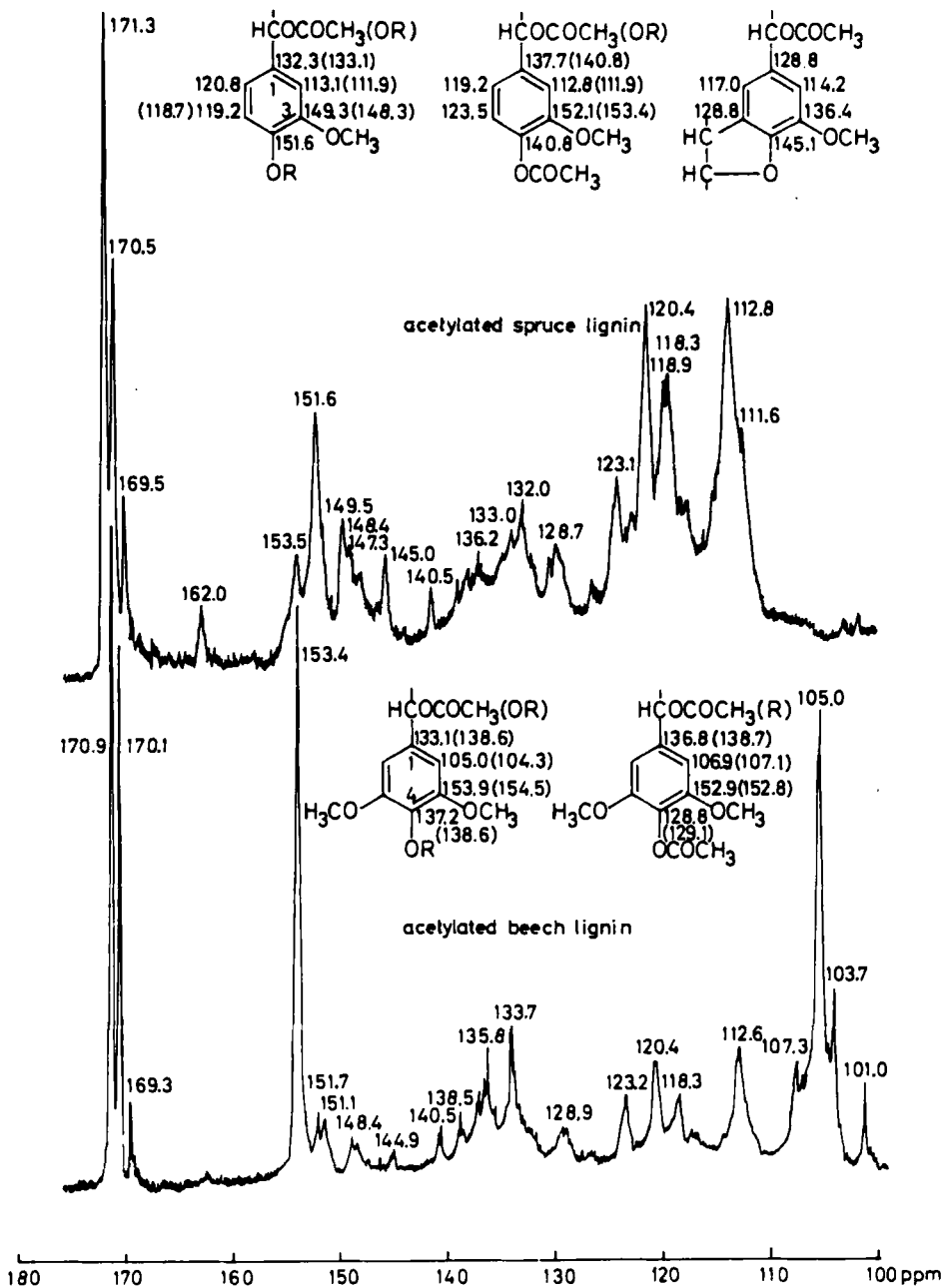


FIGURE 4: Aromatic part of the carbon-13 NMR spectra of acetylated spruce and beech MWL.

DHP in Fig. 5 reveals that the main difference of the two spectra is the higher intensity of signals assigned to β -5 and β -8 units in acetylated DHP. A more detailed explanation for this discrepancy has already been given earlier⁵. On the other hand, there is only one broad signal missing in the DHP spectrum at 69.6 ppm, which may be assigned to carbohydrates in spruce MWL. Most interestingly, there are three signals in both spectra, that cannot be assigned so far, at 85.4, 83.6, and 73.1 ppm, in the spectrum of acetylated spruce lignin (Fig. 2), corresponding to the signals at 85.1, 83.2, and 73.1 ppm in the spectrum of acetylated DHP (Fig. 5). The splitting of the signals in the two-dimensional spectrum of acetylated DHP is in accordance with the assignments to quaternary, tertiary, and secondary carbon atoms we have given earlier⁴.

Aromatic Carbon Atoms

Figs. 4 and 6 display the assignment of signals of aromatic carbon atoms in acetylated spruce and beech MWL as well as DHP. The chemical shift values are influenced by the substitution patterns at the aromatic carbon atoms as well as at the phenolic oxygen and α -carbon atoms. Thus, for example, carbon atoms 2 and 6 in syringyl residues give three signals, at 103.7, 105.0, and 107.3 (cf. Fig. 4, lower spectrum) for Se(α -OR), Se(α -OAc), and Sa, respectively, where "S" means syringyl, "a" acetylated, and "e" etherified. The difference in relative intensities of the signals in the spectra of acetylated spruce MWL (Fig. 4, upper spectrum) and DHP (Fig. 6) reveals the assignment of aromatic carbon atoms in "condensed" aromatic rings of β -5 units (cf. upper right formula in Fig. 4). Signals 9, 11a, 16a, and 17a in Fig. 6 seem to be artifacts from CH groups. The two-dimensional spectrum

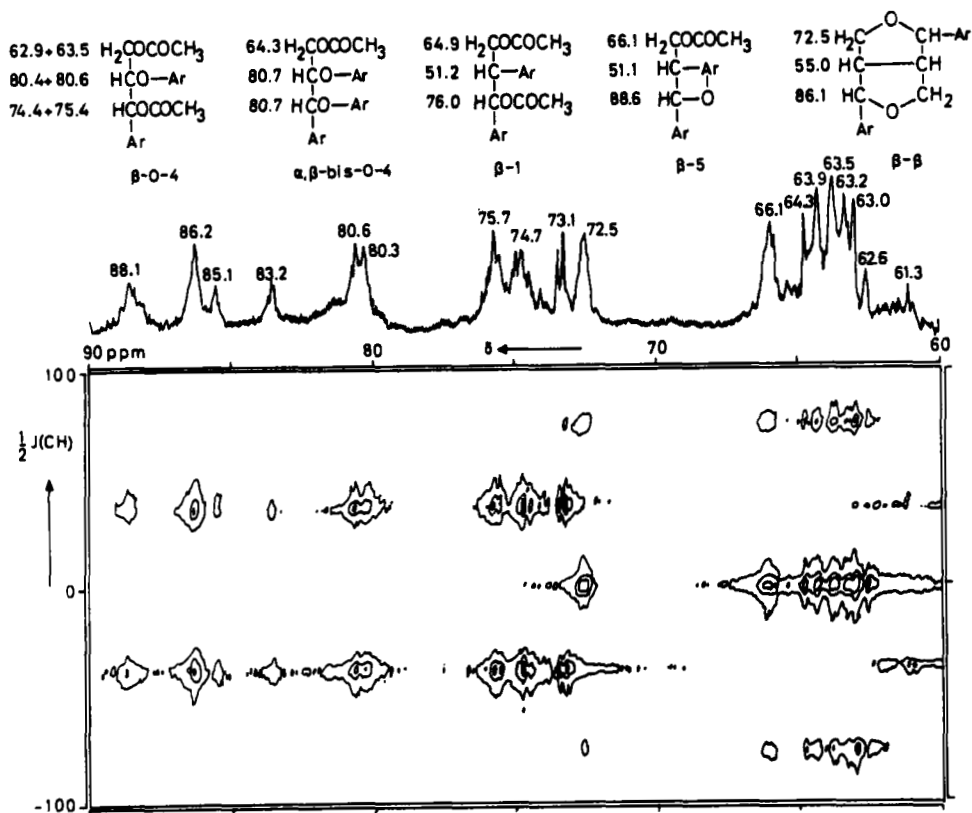


FIGURE 5: Aliphatic part of $2\text{DJ-}^{13}\text{C-NMR}$ spectrum of acetylated DHP.

in Fig. 6 clearly shows that besides these mentioned exceptions there is a border between quaternary aromatic carbon atoms, absorbing below 125 ppm (higher ppm values) and nonsubstituted, tertiary aromatic carbon atoms, absorbing above 125 ppm (lower ppm values). The olefinic carbon atoms in acetylated coniferyl alcohol groups, primarily present in DHP and absorbing at 134.5 ppm (C- α) and at 123.3 ppm (C- β) have been completely oxidized by peracetic acid (see above).

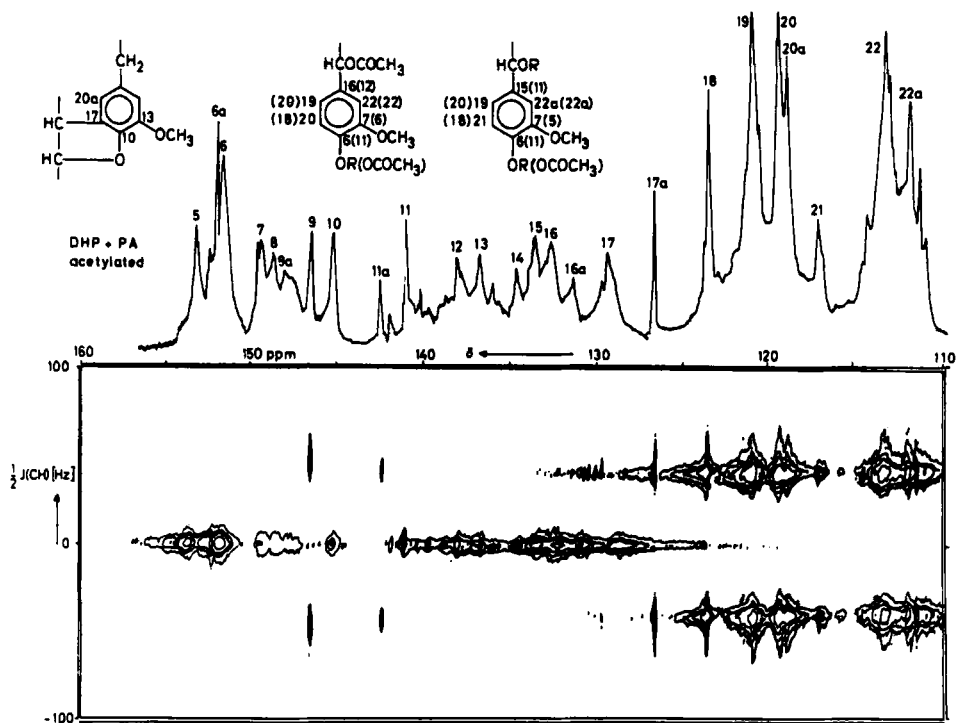


FIGURE 6: Aromatic part of $2DJ$ - ^{13}C -NMR spectrum of acetylated DHP.

CONCLUSIONS

It has been shown that the improvement in signal resolution gained by increasing the magnetic field leads to fairly well separated signals for β -0-4, β -5, and β - β units in spruce as well as in beech lignin (Fig. 1). β -1 units are also indicated in the spectrum of beech MWL by two intense signals, while the corresponding signals in the spectrum of spruce lignin are less clear and partly overlapped. In the case of α, β -bis-0-4 units, broad absorption areas exist at the ppm values expected for carbon atoms α and β . One also finds relatively

broad signals for the same carbon atoms in β -0-4 structures, which are actually expected to give two signals for the threo and erythro isomers, as they actually do in the spectra of their acetates (Fig. 2). Also, the signal of the β -carbon atom in β -0-4 units of spruce MWL is shifted upfield by 1.5 ppm, when compared to that of β -0-4 dilignols (Fig. 1, upper left formula). These findings are indications for restricted conformations caused by hydrogen bonding or steric hindrance. Acetylation removes the hydrogen bonding but not steric hindrance by bulky groups existing in α , β -bis-0-4 ethers.

In the spectra of acetylated MWLs (Fig. 2) and DHP (Fig. 5, one dimensional spectrum) carbon atoms α and β in β -0-4 structures give separated signals for their threo and erythro isomers. In the case of spruce MWL and DHP the areas of the corresponding signals, at 75.7 and 74.8 ppm for C- α and at 80.6 and 80.1 ppm for C- β , are similar to each other, so that no conclusion can be drawn, which one of the two isomers prevails over the other one. In the case of acetylated beech MWL (Fig. 2, right hand spectrum) four signals are to be expected for each, the α - and the β -carbon atom in β -0-4 structures, belonging to the erythro and threo isomers of β -0-guaiacyl and β -0-syringyl structures. From the relative signal areas of these eight signals it can be concluded that in beech lignin the β -0-4 structures are mainly of the erythro β -0-syringyl ether type.

There is no doubt that a branched macromolecule like lignin having a nonregular structure will never give a completely resolved carbon-13 NMR spectrum. It is, however, desirable to apply high magnetic fields and use more sophisticated techniques in order to gain further

insight into lignin structure. Also more appropriate lignin model compounds are needed for comparison.

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